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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Serial No. .... 10/007,300  
Filing Date ..... November 8, 2001  
Inventor ..... Keiji Jono et al.  
Assignee ..... KMT Semiconductor, LTD  
Group Art Unit ..... 2811  
Examiner ..... Thien F. Than  
Attorney Docket No. ..... KM1-003  
Customer No. ..... 021567  
Title: Trench-Isolated Transistors, Trench Isolation Structures, Memory Cells,  
and DRAMs

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

References -- See Attached Form PTO-1449

The attached form PTO-1449 is submitted in compliance with 37 CFR §1.56. Copies of the cited prior art references are attached. No admission is made regarding whether all the listed references are prior art.

Respectfully submitted,

Dated: 7-14-04

By:   
Mark S. Matkin  
Reg. No. 32,268

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| Form PTO-1449  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                    |          | ATTY. DOCKET NO.<br>KM1-003 |       | SERIAL NO.<br>10/007,300 |                            |
|--|----|---|----------|-----------------------------|-------|--------------------------|----------------------------|
| <p align="center"><b>LIST OF ART CITED BY APPLICANT</b><br/>(Use several sheets if necessary)</p>  |    | O P E J C I S S<br>JUL 14 2004<br>P A T E N T & T R A D E M A R K O F F I C E |          |                             |       |                          |                            |
|  |    | APPLICANT: Keiji Jono et al.  |          |                             |       |                          |                            |
|  |    | FILING DATE<br>November 8, 2001   |          | GROUP<br>2811               |       |                          |                            |
| <b>U.S. PATENT DOCUMENTS</b>   |    |   |          |                             |       |                          |                            |
| *Examiner's Initials   |    | Document Number   | Date     | Name                        | Class | Subclass                 | Filing Date If Appropriate |
|  | AA | 6,342,428 B1  | 01/20/02 | Zheng et al.                |       |                          |                            |
|  | AB | 6,380,095 B1  | 04/30/02 | Liu et al.                  |       |                          |                            |
|  | AC |   |          |                             |       |                          |                            |
|  | AD |   |          |                             |       |                          |                            |
|  | AE |   |          |                             |       |                          |                            |
|  | AF |   |          |                             |       |                          |                            |
|  | AG |   |          |                             |       |                          |                            |
|  | AH |   |          |                             |       |                          |                            |
|  | AI |   |          |                             |       |                          |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |   |          |                             |       |                          |                            |
|  |    | Document Number   | Date     | Country                     | Class | Subclass                 | Translation                |
|  |    |   |          |                             |       |                          | Yes                        |
|  | AJ |   |          |                             |       |                          |                            |
|  | AK |   |          |                             |       |                          |                            |
|  | AL |   |          |                             |       |                          |                            |
| <b>OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)</b>   |    |   |          |                             |       |                          |                            |
|  | AM |   |          |                             |       |                          |                            |
|  |    |   |          |                             |       |                          |                            |
|  | AN |   |          |                             |       |                          |                            |
|  |    |   |          |                             |       |                          |                            |
|  | AO |   |          |                             |       |                          |                            |
|  |    |   |          |                             |       |                          |                            |
| EXAMINER   |    | DATE CONSIDERED   |          |                             |       |                          |                            |
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> |    |   |          |                             |       |                          |                            |